

## A REVIEW ON THE CHROMATIC NUMBER OF CARTESIAN PRODUCTS AND APPLICATIONS

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### ABSTRACT

Given any graph  $G$ , its square graph  $G^2$  has the same vertex set  $V(G)$ , with two vertices adjacent in  $G^2$  whenever they are at distance 1 or 2 in  $G$ . A set  $S \subseteq V(G)$  is a 2-distance independent set of a graph  $G$  if the distance between every two vertices of  $S$  is greater than 2. The 2-distance independence number  $\alpha_2(G)$  of  $G$  is the maximum cardinality over all 2-distance independent sets in  $G$ . In this paper, we establish the 2-distance independence number and 2-distance chromatic number for  $C_3 \times C_6 \times C_m$ ,  $C_n \times P_3 \times P_3$  and  $C_4 \times C_7 \times C_n$  where  $m \equiv 0 \pmod{3}$  and  $n, m \geq 3$ .

### Introduction

Let  $G = (V, E)$  be a finite and simple graph. For any graph  $G$ , we denote the vertex-set and the edge-set of  $G$  by  $V(G)$  and  $E(G)$ , respectively. A proper vertex  $k$ -coloring of a graph  $G$  is a mapping  $c : V(G) \rightarrow \{1, \dots, k\}$ , with the property that  $c(u) \neq c(v)$  whenever  $uv \in E(G)$ . The smallest  $k$  for which there exists a  $k$ -coloring of  $G$ , called the chromatic number of  $G$ , is denoted by  $\chi(G)$ , see [1, 7] for more details. The square of a graph  $G$ ,

denoted by  $G^2$ , is a graph with  $V(G^2) = V(G)$ , in which two vertices are adjacent if their distance in  $G$  is at most two. A 2-distance coloring of  $G$  is a vertex coloring of  $G$  such that any two distinct vertices at distance less than or equal to 2 are assigned different colors. The 2-distance chromatic number of a graph  $G$  is the minimum number of colors necessary to have a 2-distance coloring of  $G$ , which is denoted by  $\chi_2(G)$ . Hence  $\chi_2(G)$  is equal to  $\chi(G^2)$ . The 2-distance coloring of graphs was introduced by Wegner in [16]. The problem of determining the chromatic number of the square of particular graphs has attracted a lot of attention, with a particular focus on the square of planar graphs (see, e.g., [4, 5, 8, 10, 15]). The Cartesian product of graphs  $G_1, G_2, \dots, G_k$  is the graph  $G_1 \times G_2 \times \dots \times G_k = \prod_{i=1}^k G_i$  with vertex set  $\{(x_1, x_2, \dots, x_k) \mid x_i \in V(G_i)\}$  and for which two vertices  $(x_1, x_2, \dots, x_k)$  and  $(y_1, y_2, \dots, y_k)$  are adjacent whenever  $x_i y_i \in E(G_i)$  for exactly one index  $1 \leq i \leq k$  and  $x_j = y_j$  for each index  $1 \leq j \leq k$  that  $i \neq j$ . The subgraph of  $G \times H$  induced by  $\{u\} \times V(H)$  is isomorphic to  $H$ . It is called an  $H$ -fiber and is denoted by  $H_u$ . A set  $S \subseteq V(G)$

# International Journal of Multidisciplinary Engineering in Current Research

ISSN: 2456-4265, Volume 6, Issue 2, February 2021, <http://ijmec.com/>

is a  $k$ -distance independent set of a graph  $G$  if the distance between every two vertices of  $S$  is greater than  $k$ . The  $k$ -distance independence number  $\alpha_k(G)$  of  $G$  is the maximum cardinality over all  $k$ -distance independent sets in  $G$ . For  $k = 1$ , we use  $\alpha_k(G)$  as  $\alpha(G)$ . There are many results for the chromatic number of the square of the Cartesian product of tree, paths, and cycles (see, e.g., [2, 3, 6, 9, 11, 13]). Shao et al. [12] established that the 2-distance chromatic number of  $G$  equals  $\lceil |V(G)| \alpha(G^2) \rceil$  for  $G = C_m \times C_n \times C_k$  where  $k \geq 3$  and  $(m, n) \in \{(3, 3), (3, 4), (3, 5), (4, 4)\}$  or  $k, m$ , and  $n$  are all multiples of seven. Moreover, it is shown that the 2-distance chromatic number of the three-dimensional square lattice is equal to seven and proved the following theorems.

**Theorem 1.1** [12] If  $j, k, l \geq 1$ , then

$$\alpha_2(C_j \times C_k \times C_l) = 49jkl.$$

**Theorem 1.2** [12] If  $j, k, l \geq 1$ , then

$$\chi_2(C_j \times C_k \times C_l) = 7.$$

In this paper, as an extension of Theorems 1.1 and 1.2, we establish the 2-distance independence number and 2-distance chromatic number for  $C_3 \times C_6 \times C_m$ ,  $C_n \times P_3 \times P_3$  and  $C_4 \times C_7 \times C_n$  where  $m \equiv 0 \pmod{3}$  and  $n, m \geq 3$ .

## 1. Main results

The aim of this section is to find lower and

upper bounds and exact values for the special cases 2-distance chromatic number of the families  $G = \{C_3 \times C_6 \times C_m, C_n \times P_3 \times P_3, C_4 \times C_7 \times C_n \mid m \equiv 0 \pmod{3} \text{ and } n, m \geq 3\}$ . The following two lemmas are essential for proving the main theorems. Let  $G$  be a graph and  $f$  be a proper 2-coloring of  $G$ . Since every color class under  $f$  is a 2-independent set, we have the following lemma,

**Lemma 2.1** If  $G$  is a graph, then  $\chi_2(G) \geq \lceil |V(G)| \alpha(G^2) \rceil$ .

Let  $H$  be a graph,  $m \geq 3$  and  $f$  denote a proper  $t$ -coloring of  $(C_m \times H)^2$ . We denote by  $f_{i,p}$ ,  $0 \leq i \leq m-p$  and  $1 \leq p \leq m$ , the restriction of  $f$  to  $V(H_i), \dots, V(H_{i+p-1})$ . The following lemma is a natural generalization of [11, Lemma 1].

**Lemma 2.2** Let  $m, n, p \geq 3$ ,  $s \geq 1$  and let  $f$  be a proper  $t$ -coloring of  $(C_m \times H)^2$ . If  $f_{0,p}$  is a proper  $t$ -coloring of  $(C_p \times H)^2$ , then  $\chi((C_m + (s-1)p) \times H)^2 \geq t$ .

## Proof

Let  $f' : V(C_m + (s-1)p \times H) \rightarrow \{1, 2, \dots, k\}$  be a function and  $f$

$f'_i$  is the restriction of  $f'$  to  $V(H^i)$ . We define the function  $f'$  by

$$f'_i = \begin{cases} f_i & i < m, \\ f_{(i-m) \bmod p} & i \geq m. \end{cases}$$

Consider first the vertex  $(j, m)$ . In this case vertex  $(j, m)$  is adjacent to  $\{(j-1, m-1); l \in \{0, 1, -1\}\}$  and  $(j, m-2)$  in the subgraph induced by  $V(H_0), \dots, V(H_{m-1})$ , as illustrated in Figure 1. By definition  $f'$  we have  $f'(j, m) = f(j, 0)$ . Since  $f$  is a proper-coloring of  $(C_m \times H)^2$  and  $(j, 0)$  is adjacent to  $\{(j-1, m-1); l \in \{0, 1, -1\}\}$  and  $(j, m-2)$  in  $(C_m \times H)^2$ , this case is settled. Similarly for any two adjacent vertices  $(x, y)$  and  $(x', y')$  of  $\{(j, m+1), (j, m+sp), (j, m+sp+1), \dots, (j, m+s-1)\}$  of  $V(C_{m+(s-1)p} \times H)^2$ , we have  $f'(x, y) = f(x', y')$  and can be proved analogously. Therefore the proof is completed.

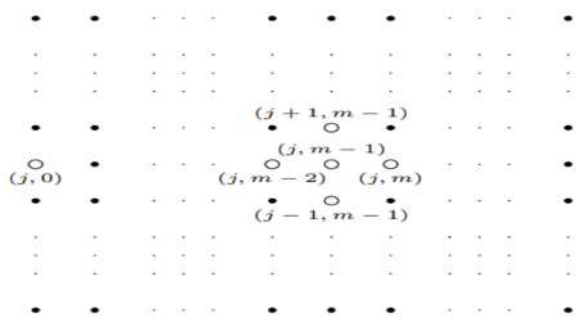


Figure 1. vertex-set of  $(C_{m+(s-1)p} \times H)^2$  for  $s \geq 1$

Before presenting our main results we need to obtain the 2-distance independent number of families  $G$ . We first mention two lemmas that need for proof of next lemmas. Let  $H$  be a graph. If  $I$  is a  $d$ -distance independent set of  $C_k \times H$ , then, for  $i = 0, \dots, k-1$ , we set  $I_i := I \cap V(H^i)$ , that is,  $I_i$  is the subset of  $I$  induced by the vertices of  $H^i$ .

One naturally asks whether an analogous statement holds for list coloring of graphs. Since the product of  $K_{1,2}$  and  $K_{1,4}$  contains the complete bipartite graph  $K_{2,4}$  and  $\chi_l(K_{2,4}) = 3$ , the statement can hold with the maximum of the list chromatic numbers. Hence, one can at least ask whether the list chromatic number of  $G \times H$  can be bounded by  $\max\{\chi_l(G), \chi_l(H)\} + C$  for a constant  $C$  (or even for  $C=1$ ). We show that even such a statement is false by constructing graphs  $G$  with  $\chi_l(G \times G) = 2\chi_l(G) - 1$ . Another graph parameter closely related to the chromatic number and the list chromatic number is the coloring number. The coloring number  $\text{col}(G)$  of a graph  $G$  is the smallest integer  $d$  for which there exists an ordering  $v_1, \dots, v_n$  of the vertices of  $G$  such that each vertex  $v_i$  has at most  $d-1$  neighbors among the vertices  $v_1, \dots, v_{i-1}$ . A graph  $G$  with  $\text{col}(G) = d$  is also called  $(d-1)$ -degenerate. Clearly,  $(G) \text{col}(G) \leq \chi_l(G) \text{col}(G)$ . Our main result is the following upper bound on the list chromatic number of the Cartesian product of two graphs  $G$  and  $H$ :

$$\chi_l(G \times H) \leq \min\{\chi_l(G) + \text{col}(H), \text{col}(G) + \chi_l(H)\} - 1.$$

The bound can be generalized to products of more graphs (see Corollary 2). In Section 3, we show that this bound cannot be improved. In particular, for every pair of positive integers  $k$  and  $\ell$ , there exist a graph

# International Journal of Multidisciplinary Engineering in Current Research

ISSN: 2456-4265, Volume 6, Issue 2, February 2021, <http://ijmec.com/>

$G$  with  $l(G) = \text{col}(G) = k$  and a graph  $H$  with  $X_l(H) = \text{col}(H) = k$  such that  $X_l(G \times H) = k + 1$ .

Upper bound

We start with establishing our upper bound on the list chromatic number of the Cartesian product of graphs.

## Theorem 1

Let  $G$  and  $H$  be two graphs. The list chromatic number  $l(G \times H)$  of the Cartesian product  $G \times H$  can be bounded as follows:

$$l(G \times H) \leq \min\{l(G) + \text{col}(H), \text{col}(G) + l(H)\} - 1.$$

## Proof

By symmetry, it is enough to prove that  $l(G \times H) \leq l(G) + \text{col}(H) - 1$ . Let  $v_1, \dots, v_n$  be the vertices of  $H$  ordered in such a way that each vertex  $v_i$  has at most  $\text{col}(H) - 1$  neighbors among the vertices  $v_1, \dots, v_{i-1}$ . Let  $V_i$  be the vertices of  $G \times H$  that are contained in the copy of  $G$  corresponding to a vertex  $v_i$ . Fix a list assignment  $L$  for  $G \times H$  such that  $|L(v)| = l(G) + \text{col}(H) - 1$  for every vertex  $v \in V(G \times H)$ . We construct a proper coloring  $c$  of  $G \times H$  with  $c(v) \in L(v)$  for every  $v \in V(G \times H)$ . First, color the subgraph of  $G \times H$  induced by  $V_1$ . Since this subgraph is isomorphic to  $G$  and each vertex has a list of size at least  $l(G)$ , such a coloring exists. Assume that we have already constructed a proper coloring  $c$  of the subgraph of  $G \times H$  induced by  $V_1 \cup \dots \cup V_{i-1}$ . We now extend the

coloring  $c$  to the vertices of  $V_i$ . First, remove from the list  $L(v)$  of each vertex  $v$  of  $V_i$  the colors of its neighbors among the vertices contained in  $V_1 \cup \dots \cup V_{i-1}$ . Since the vertex  $v$  has at most  $\text{col}(H) - 1$  such neighbors (one neighbor for each neighbor of  $v_i$  that precedes  $v_i$  in the ordering of the vertices of  $H$ ), the new list  $L(v)$  has size at least  $l(G)$ . Since the list chromatic number of  $G$  is  $l(G)$ , the copy of  $G$  induced in  $G \times H$  by the vertices of  $V_i$  can be colored from the new lists. In this way, the coloring is eventually extended to the entire graph  $G \times H$ .

An immediate corollary of Theorem 1 is the following upper bound on the list chromatic number of the Cartesian product of several graphs:

Corollary 2. If  $G_1, \dots, G_k$  are graphs, then the following holds:

$$l(G_1 \times \dots \times G_k) \leq l(G_1) + \text{col}(G_2) + \dots + \text{col}(G_k) - (k - 1).$$

## 2. Lower bound

In this section, we show that there exists a graph  $G$  with  $\text{col}(G) = l(G)$  such that  $l(G \times G) = \text{col}(G) + l(G) - 1$ . Let us start with the following lemma:

## Lemma 3

Let  $G$  be a graph with  $n$  vertices. The list chromatic number of the product of  $G$  and  $K_k$  is  $l(G) + k$  where  $t = (k + l(G) - 1) k^n$ .

### Proof

Let  $H$  be the Cartesian product of  $G$  and  $K_{k,t}$ . Fix a list assignment  $L$  that assigns each vertex of  $G$  a set of  $l(G) - 1$  colors such that the vertices of  $G$  cannot be properly colored from their lists. Let  $L_0$  be the union of all the lists  $L(v)$  and let  $X$  be the smaller part of  $K_{k,t}$  and  $Y$  the larger one. Finally, let  $XH$  be the vertices of  $H$  that are contained in the copies of  $G$  corresponding to the vertices of  $X$ . Note that  $|XH| = kn$ .

We now construct a list assignment  $LH$  from which  $H$  cannot be colored. The lists  $LH(v, x)$ ,  $v \in V(G)$  and  $x \in X$ , i.e., the lists of the vertices of  $XH$ , are disjoint sets of  $k + l(G) - 1$  that are distinct from the colors of  $L_0$ . Next, associate with each of the (at most  $t = (k + l(G) - 1)kn$ ) colorings  $c$  of the vertices of  $XH$  a vertex  $yc$  of  $Y$ . The list  $LH(v, yc)$  is the union of the list  $L(v)$  and the set of  $k$  colors assigned to the  $k$  neighbors of the vertex  $(v, yc)$  in  $XH$ . Observe that the size of the list  $LH(w)$  is  $k + l(G) - 1$  for every vertex  $w \in V(H)$ . We show that  $H$  cannot be colored from the lists  $LH$ .

Assume that there exists a coloring  $c_H$  of  $H$  such that  $c_H(w) \in LH(w)$  for every  $w \in V(H)$ . Let  $c$  be the restriction of  $c_H$  to the vertices of  $XH$ . Observe now that  $c_H(v, yc) \in L(v)$  for every vertex  $v$ : indeed,  $c_H(v, yc)$  cannot be any of the  $k$  colors assigned to the neighbors of  $(v, yc)$  in  $X_0$ . Since these  $k$  colors are precisely the  $k$  colors of  $LH(v, yc) \setminus L_0(v)$ , it follows that

$c_H(v, yc) \in L(v)$ . Hence, the coloring  $c_H$  restricted to the copy of  $G$  corresponding to the vertex  $yc$  in  $H$  is a proper coloring of  $G$  from the lists  $L$ . This contradicts the choice of the list assignment  $L$ .

Since  $H$  cannot be colored from the lists  $LH$ ,  $l(H) > l(G) + k - 1$ . Since the graph  $K_{k,t}$  is  $k$ -degenerate, its coloring number is  $k + 1$  and  $l(H) \leq l(G) + k$  by Theorem 1.

Hence,  $l(H) = l(G) + k$ .

$H = K_{s,t}$  with  $s = (k + 1)k$  and  $t = (k + 1)(k + k)$ .

4. Open problems We have initiated study of the list chromatic number of the Cartesian product of two graphs. Our original motivation was the question whether the list chromatic number  $l(G \times H)$  of two graphs  $G$  and  $H$  could be bounded by  $\max\{l(G), l(H)\}$  as in the case of usual colorings. We have shown that this does not hold for list colorings, in particular,  $l(G \times G) = 2l(G) - 1$  for the graph  $G$  constructed in Theorem 4. However,  $l(G \times H)$  can be bounded by a function of  $l(G)$  and  $l(H)$ : by the result of Alon [2,1], the coloring number of  $G$  does not exceed  $2O(l(G))$ . Similarly,  $col(H) \leq 2O(l(H))$ . Hence,  $col(G \times H) \leq \min\{l(G) + 2O(l(H)), l(H) + 2O(l(G))\}$ . However, we suspect that a much better upper bound can be established:

### CONCLUSION

There exists a constant  $A$  such that the following holds for every pair of graphs  $G$  and  $H$ :

# International Journal of Multidisciplinary Engineering in Current Research

ISSN: 2456-4265, Volume 6, Issue 2, February 2021, <http://ijmec.com/>

$$\chi_l(G \times H) \leq A(\chi_l(G) + \chi_l(H)).$$

Also note that if the (am, bm)-conjecture of Erdős et al. [3] is true, then  $\chi_l(G \times H) = \chi_l(G) + \chi_l(H)$ . Another problem is to bound the list chromatic number of  $G \times H$  in terms of the maximum degrees of  $G$  and  $H$ . If  $G$  and  $H$  are complete graphs of orders  $a$  and  $b$ , then  $G \times H$  is isomorphic to the line graph of the complete bipartite graph with parts of sizes  $a$  and  $b$ . Kahn [4] showed that the list chromatic number of the line-graph of a graph with maximum degree  $\Delta$  does not exceed  $\Delta + o(\Delta)$ . In particular,  $\chi_l(K_a \times K_b) = \max\{a, b\} + o(a + b)$ . This leads us to the following problem: Conjecture 7. Let  $G$  and  $H$  be two graphs with maximum degree at most  $\Delta$ . The list chromatic number of  $G \times H$  does not exceed  $\Delta + o(\Delta)$ .

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